				Compi	lete if Known
Substitute for	1449/PTO			Application Number	09/676,374
	DRMATION			Filing Date	09-29-2000
STA	TEMENT E	BY APPLIC	CANT	First Named Inventor	Ernest H. Zerenner
				Art Unit	3696
	(use as many she	ets as necessary)		Examiner Name	Felten, D.
Sheet	1	of	4	Attorney Docket Number	POPT-0004

		U. S. PUBLICA	ATION AND PA	ATENT DOCUMENTS
Examiner Initials	Cite No.	Document Number Number – Kind Code (if known)	Publication or Grant Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	97	4,566,066	01-21-1986	Towers
	98	4,648,037	03-03-1987	Valentino
	99	4,961,139	10-02-1990	Hong et al.
	100	4,989,141	01-29-1991	Lyons et al.
	101	5,101,353	03-31-1992	Lupien et al.
	102	5,161,103	11-03-1992	Kosaka et al.
	103	5,189,056	02-23-1993	Orlando et al.
	104	5,193,056	03-09-1993	Boes
	105	5,220,500	06-15-1993	Baird et al.
	106	5,247,575	09-21-1993	Sprague et al.
	107	5,297,032	03-22-1994	Trojan et al.
	108	5,497,317	03-05-1996	Hawkins et al.
	109	5,502,637	03-26-1996	Beaulieu et al.
	110	5,590,325	12-31-1996	Kolton, et al.
	111	5,594,910	01-14-1997	Filepp et al.
	112	5,644,727	07-01-1997	Atkins
	113	5,675,746	10-07-1997	Marshall
	114	5,749,077	05-05-1998	Campbell
	115	5,893,079	04-06-1999	Cwenar
	116	6,021,397	02-01-2000	Jones, et al.
	117	6,226,623	05-01-2001	Schein et al.

Examiner	Date	
Signature	Considered	

				Compl	ete if Known
Substitute for	1449/PTO			Application Number	09/676,374
	RMATION			Filing Date	09-29-2000
STA	TEMENT E	BY APPLIC	CANT	First Named Inventor	Ernest H. Zerenner
				Art Unit	3696
	(use as many she	eets as necessary)		Examiner Name	Felten, D.
Sheet	2	of	4	Attorney Docket Number	POPT-0004

Examiner		Foreign Patent Document	Publication Date		_
Initials	Cite No.	Country Code- Number - Kind Code (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	1
	118	GB 2161003	01-02-1986	Merrill Lynch & Co Inc	
	119	GB 2210714	06-14-1989	Merrill Lynch & Co Inc	
	120	WO 97/42591	11-13-1997	Merrill Lynch & Co Inc	
	121	WO 98/09229	03-05-1998	Telexis Corporation	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), Volume-issue Number(s), publisher, city and/or country where published.	Т
	122	"Data Broadcasting Corporation Unveils Multiple-User Real-Time Stock Market Investment Tool", PR Newswire, April 8, 1997, 2 pages	
	123	"Equity Research/Portfolio Analytics Support", Wall Street & Technology, (no month available) 1993, 136, Abstract	
	124	"Faster Access to Newly Released Research via Investext", Information Today, March 1993, 5(1)	
	125	"First Call Notes to be Available on Fidelity's MAXXESS & MAXXnet", Business Wire, June 1997, 2 pages	
	126	"Hoover's, Inc. Introduces StockScreener Free World Wide Web Service for Investors", Information Today, September 1997, 45(1)	
	127	"IBM InfoSage Web-Based Service Debuts", Information Today, (no month available) 1996, 3 pages	
	128	"On the Street Online, New & Noteworthy", January 1996, 28-30	
	129	"R.R. Donnelley Financial and IPO Crossroads Launch Highly Searchable IPO Database Website", PR Newswire, December 1996, 1204, 4 pages	
	130	"Telescan Inc. (Stock analysis Software) (1995 Guide to Computerized Trading), Futures (Cedar Falls, Iowa), July 1995, 3 pages	
	131	"Telescan launches Internet's First Complete Real-Time Information and Analysis Service for the Financial Markets", PR Newswire, April 5, 1995, 3 pages	
	132	"Telescan, Inc. Launches Internet's First Complete Real-Time Information and Analysis Service for the Financial Markets", PR Newswire, April 1995, 405NY036	
	133	"Telescan, Inc. Launches ProSearch 5.0: Fast Stock Screening Software Program Targets All Investors", Business Wire, June 1996, 6060617	
Examiner Signature		Date Considered	

				Compl	ete if Known
Substitute for 1	1449/PTO			Application Number	09/676,374
		DISCLOS		Filing Date	09-29-2000
STA	LEMENT E	BY APPLIC	CANT	First Named Inventor	Ernest H. Zerenner
				Art Unit	3696
	(use as many she	ets as necessary)		Examiner Name	Felten, D.
Sheet	3	of	4	Attorney Docket Number	POPT-0004

		NON PATENT LITERATURE DOCUMENTS
	134	"Telescan, Inc. launches ProSearch 5.0: Fast Stock Screening Software Program Targets All Investors", Business Wire, June 6, 1996, 3 pages
	135	"Telescan, Inc.", Futures, July 1995, 46
	136	"ZDNet Introduces Inter@active Investor, Web's Most Comprehensive Source of Financial News and Information on Tech Companies", PR Newswire, February 1998, 202
	137	Armstrong, "Navigate the Networks Like a Wall Street Pro", Business Week, October 2004, 224, Abstract
	138	Barboza, "Reading the Tea Leaves Via Stock Analysis Software", New York Times, September 21, 1997, 2 pages
	139	Carey, "Fidelity On-Line Express", PC Magazine, January 1995, 14(1), 204(1), Abstract
	140	Cronin, "Is Giving Away Data a Smart Way to Profit?", Fortune, December 1997, 258, Abstract
	141	Cullen, "Investing the High Tech Way (On-Line Products for Investors)", High Technology, June 1987, 68, Abstract
	142	Dreyfuss, "How to Invest with Your PC", Fortune, October 1990, 211(3)
	143	Foust, "Screening Stocks on Your PC Screen", Business Week, October 1993, 142(2), Abstract
	144	Gianturco, "Investment Software: Broker in a Box", Forbes, Inc., April 8, 1996, 157(7), S82(1) 3 pages
	145	Gianturco, "Using Your PC to Pick the Best Fund Finder. (Steele Systems' Mutual Fund expert, Telescan's Mutual Fund Search, Value Line Publishing's Value Line Fund Analyzer and Morningstar's Ascent, Principia and Principia Plus fund-picking programs) (Forbes ASAP) (Software Review) (Evaluation)", Forbes, October 1996, \$41, 5 pages
	146	Gold, "Profile Increases Range of Online Service", Newsbytes, June 1990
	147	Grinder, "An Overview of Financial Services Resources on the Internet", Financial Services Review, (no month available) 1997, 6(2), 125-140
	148	http://web.archive.org/web/19991110210901/telescan.com/telescan_prod_prolerts.htm Telescan, ProSearch Alerts, Accessed May 5, 2010
	149	http://web.archive.org/web/20000309144734/telescan.com/telescan_prod_procrit.htm Telescan, ProSearch Alerts, Accessed May 5, 2010
	150	Kan, "Street Smart 1.0", MacWorld, February 1995, 59, Abstract
	151	Kawamoto, "On The StreetOnline", New & Noteworthy, January 1996, 2 pages
Examiner Signature		Date Considered

				Compl	ete if Known
Substitute for	1449/PTO			Application Number	09/676,374
	RMATION			Filing Date	09-29-2000
STA ⁻	TEMENT E	BY APPLIC	CANT	First Named Inventor	Ernest H. Zerenner
				Art Unit	3696
	(use as many she	ets as necessary)		Examiner Name	Felten, D.
Sheet	4	of	4	Attorney Docket Number	POPT-0004

	NON PATENT LITERATURE DOCUMENTS	
152	Moad, "Sabre Rattled", PC Week Executive, January 29, 1996, E1-E2	
153	Ojala, "The Dollar Sign", http://www.onlineinc.com/database, August/September 1996, Database, 82-88	Ī
154	Price-Evans, "Investment Software: Plotting a Course on Supercharts", Investors Chronicle, February 3, 1995	Ī
155	Retkwa, "Financial Pages: You Can Now Obtain Investment Intelligence That Rivals That of Professional Traders", Internetworld, February 1996, 66(6), Abstract	Ī
156	Rosen, "Fitting the Plan to the Person", The New York Times, November 16, 1986, Section 12, Page 40, Column 1	
157	Sahgal, "OLTP Spells Success in Time-Pressed '90s", Wall Street Computer Review, January 1990, S42, Abstract	
158	Stern, "Trade in Your Old Way of Investing: With These Electronic Tools You Can Bring the Hottest Market Data Home Quickly", Home Office Computing, July 1993, 62, Abstract	Ī
159	US Patent Application No. 10/607,418, Notice of Allowance dated February 5, 2010, 14 pages	
160	US Patent Application No. 11/611,043, Final Rejection dated November 24, 2009, 7 pages	
161	US Patent Application No. 11/611,043, Non-Final Office Action dated February 4, 2009, 6 pages	
162	Weiner, "Software Packages for Investors", Fortune, (no month available) 1986, 114, 185(3)	
163	Yakal, "Personal Investment Software, Investment 101", PC Magazine, November 19, 1996, 15(20), 341(9), 9 pages	

Examiner Date Signature Considered
